



LAWRENCE  
LIVERMORE  
NATIONAL  
LABORATORY

# Receiver Architecture for 12.5 Gb/s 16-ary Pulse Position Modulation (PPM) Signaling

A. J. Mendez, R. M. Gagliardi, V. J. Hernandez,  
C. V. Bennett

October 7, 2008

Avionics Fiber-Optics & Photonics Conference  
San Diego, CA, United States  
September 30, 2008 through October 2, 2008

## **Disclaimer**

---

This document was prepared as an account of work sponsored by an agency of the United States government. Neither the United States government nor Lawrence Livermore National Security, LLC, nor any of their employees makes any warranty, expressed or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States government or Lawrence Livermore National Security, LLC. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States government or Lawrence Livermore National Security, LLC, and shall not be used for advertising or product endorsement purposes.

# RECEIVER ARCHITECTURE FOR 12.5 GB/S 16-ARY PULSE POSITION MODULATION (PPM) SIGNALING

A. J. Mendez<sup>1</sup>, R. M. Gagliardi<sup>2</sup>, V. J. Hernandez<sup>3</sup>, C.V. Bennett<sup>3</sup>

<sup>1</sup>Mendez R&D Associates, El Segundo, CA

<sup>2</sup>University of Southern California, Los Angeles, CA

<sup>3</sup>Lawrence Livermore National Laboratory, Livermore, CA

## Introduction

PPM is a signaling scheme that enables the transmission of multiple bits per symbol [1]. It has found favor in the regime of free space optical communications ("FSO" or "Lasercom"); however, PPM has yet to be widely applied to fiber optic-based communications. Its limitation in fiber results from the exceedingly high bandwidth requirements needed to electronically process a directly detected pulse, especially as the symbol rate increases and the pulse width correspondingly decreases. As a solution, we introduced the concept of a virtual quadrant receiver for receiving 1.25 Gb/s 4-ary PPM, where photonic processing reduced the number of required electronic components [2]. In this paper, we extend these photonic process techniques to a 16-ary, 12.5 Gb/s (10 Gb/s plus 8B/10B line coding) PPM communications system for fiber optic avionics, wherein much of the receiver processing is enabled by techniques based on planar lightwave circuits (PLCs). The architecture is applicable to higher input data rates and  $M$ -ary PPM. In the following, we present the PPM encoding and decoding architectures and numerically simulated results.

## PPM Encoding

The PPM pulse encoder converts a data word into a specific pulse (delayed) position. In general, a pulse inputted into the encoder is mapped to one of  $M$  contiguous, non-overlapping pulse positions that constitute a data frame, according to the word that is transmitted. The word consists of  $N = \log_2(M)$  bits

and its numerical value may be expressed as  $K = \sum_{i=1}^N a_i \cdot 2^i$ , where  $a_i$  is the transmitted binary symbol

(0,1). If  $K$  is made to correspond to the number of unit pulse shifts (0 to  $M-1$ ) applied to the incoming pulse, then the encoder may be implemented using a sequence of serial switches and parallel delay lines, as illustrated in Figure 1. The figure depicts discrete components, but a PLC implementation is feasible. Each switch, in this case a dual output modulator, is controlled by the data bit  $a_i$  and sends the pulse through a delay line containing a relative delay of either 0 or  $2^i$ . For the case of  $M=16$  at 12.5 Gb/s, four such modulator/delay line pairs are required for implementation, resulting in PPM frame times of 160 ps and slot times of 20 ps. Each modulator is driven by the bits of the demultiplexed word at 3.125 Gb/s, and each parallel delay line can apply a delay of  $2^i \times 10$  ps. The required input pulse train for the encoder must have pulse widths  $< 20$  ps to fit within a slot and have a repetition rate of 3.125 GHz, correspond to the frame size.

## PPM Decoding

The PPM decoder receives a transmitted frame, determines the delayed position of the pulse, and matches the position to the corresponding unique binary word according to a look-up table. The implementation, shown in Fig. 2a, reduces the amount of electronic processing required to perform this process by using alternative optical components. The slots are mapped into a virtual 4x4 array in conjunction with the control laws in Table 1 that determine the row and column of the array occupied by the pulse. As in previous control laws [2,3],  $s_n$  indicates the slots of each frame. These slots are first aligned together using an optical splitter followed by delay line arrays consisting of  $n$  unit delays (20 ps for our design). Optical couplers can perform the addition and balanced receivers can be used to perform subtraction. If an optical gate is incorporated prior to the receiver, the receiver bandwidth and all subsequent electronics need only operate frame rate, rather than having to accommodate the bandwidth of

the actual pulse. The control law can be implemented within a planar PLC with erbium doped waveguide amplifiers (EDWAs) integrated in order to minimize losses.

## Concluding Remarks

The 4x4 virtual array receiver implementation was captured in the RSoft OptSIM software and test runs for 512 symbols (2048 bits) were executed. The results are shown in Figure 2b. It shows that the corresponding constellations are quite compact and contained within their row/column assignment. We expect that this approach can be readily extended to higher bit rates and/or PPM  $M$ -ary modes.

## References

- [1] R. M. Gagliardi and S. Karp, *Optical Communications*, 2nd ed. New York: John Wiley and Sons, 1995.
- [2] V. J. Hernandez, A. J. Mendez, R. M. Gagliardi, C. V. Bennett, and W. J. Lennon, "Progress Towards a Virtual Quadrant Receiver for 4-ary Pulse Position Modulation/Optical Code Division Multiple Access (4-ary PPM/O-CDMA) Networks," in *Proc. Avionics, Fiber-Optics, and Photonics Technology Conf. (AVFOP)*, 2007, pp. 32-3.
- [3] A. J. Mendez, V. J. Hernandez, R. M. Gagliardi, C. V. Bennett, and W. J. Lennon, "Development of pulse position modulation/optical CDMA (PPM/O-CDMA) for Gb/s fiber optic networking," in *Proc. Avionics, Fiber-Optics and Photonics Technology Conf. (AVFOP)*, 2006, pp. 28-29.

This work was supported in part by DARPA under SBIR Phase II Adoption Contract W31P4Q-05-C-R161 and Mendez R&D Associates IR&D. The joint collaboration between Mendez R&D Associates and Lawrence Livermore National Laboratory (LLNL) was carried out under Co-operative Research and Development Agreement (CRADA) TC-2051-02. This work was performed under the auspices of the U.S. Department of Energy by Lawrence Livermore National Laboratory under Contract No. DE-AC52-07NA27344. LLNL-CONF-407570.

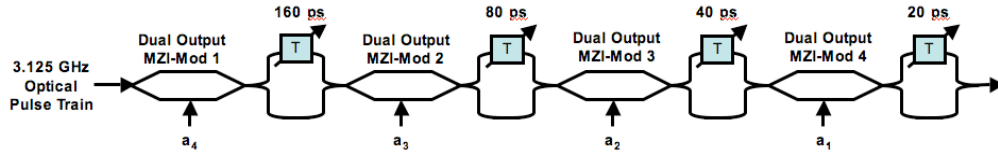


Figure 1. Cascaded Mach-Zehnder Interferometer (MZI) Design for the 10 Gb/s 16-ary Modulator.

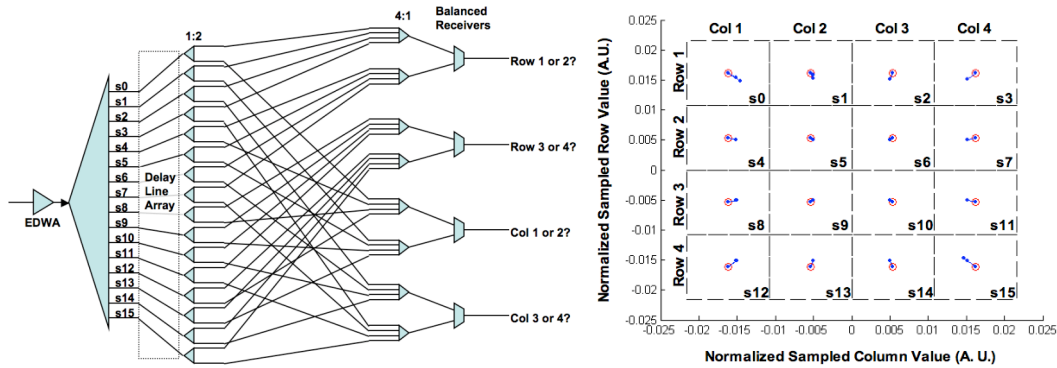


Figure 2. (a) PLC Implementation for the 16-ary PPM Demodulator/Receiver. (b) Output of receiver, showing mapping of  $2^9$  symbols into a look-up table. Circles indicate the transmitted symbol, dots the detected symbols.

Table 1. Summary of Control Laws for Virtual Array Receiver

Control Law	Result = 1	Result = -1
$(S_0+S_1+S_2+S_3)-(S_4+S_5+S_6+S_7)$	Row 1	Row 2
$(S_8+S_9+S_{10}+S_{11})-(S_{12}+S_{13}+S_{14}+S_{15})$	Row 3	Row 4
$(S_0+S_4+S_8+S_{12})-(S_1+S_5+S_9+S_{13})$	Column 1	Column 2
$(S_2+S_6+S_{10}+S_{14})-(S_3+S_7+S_{11}+S_{15})$	Column 3	Column 4

Note: If a control law result = 0, it indicates that the pulse is contained in the other two rows or columns.